

Arizona Department of Health Services

Division of Behavioral Health Services

PROVIDER MANUAL

Section 3.2 Appointment Standards and Timeliness of Service

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3.2.1 Introduction

It is vital that the Arizona Department of Health Services/Division of Behavioral Health Services (ADHS/DBHS) system be responsive and accessible to all the persons it serves. It is the expectation of ADHS/DBHS that provider response to a person's identified behavioral health service need is timely and based on clinical need, resulting in the best possible behavioral health outcome for that person.

Response time is always determined by the acuity of a person's assessed behavioral health condition at the moment he/she is in contact with the provider. ADHS/DBHS has organized responses into three categories: immediate responses, urgent responses, and routine responses.

3.2.2 References

The following citations can serve as additional resources for this content area:

[42 C.F.R. § 438.206](#)

[42 C.F.R. § 438.210](#)

[A.A.C. R9-20-503](#)

[A.A.C. R9-21-304](#)

[A.A.C. R9-22-210](#)

[A.A.C. R9-22-1205\(H\)](#)

[A.A.C. R9-22-502\(B\)](#)

[A.A.C. R9-31-1205\(H\)](#)

[AHCCCS/ADHS Contract](#)

[ADHS/RBHA Contracts](#)

[ADHS/Tribal IGAs](#)

[Section 3.3, Referral and Intake Process](#)

[Section 3.10, SMI Eligibility Determination](#)

[Section 7.5, Enrollment, Disenrollment, and other Data Submission](#)

[ADHS/DBHS Covered Behavioral Health Services Guide](#)

[DBHS Practice Protocol, Child and Family Team Practice](#)

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[DBHS Practice Protocol, The Unique Behavioral Health Service Needs of Children, Youth, and Families Involved with CPS](#)
[Substance Abuse Performance Partnership Block Grant](#)

3.2.3 Scope

To whom does this apply?

- All Title XIX and Title XXI eligible persons;
- Non Title XIX/XXI persons determined to have a Serious Mental Illness; and
- Persons presenting for crisis services. When a person presents for crisis services, providers must first deliver needed behavioral health services and then determine eligibility and T/RBHA enrollment status.

Please note that at the time it is determined that an immediate response is needed, a person's eligibility and enrollment status may not be known. Behavioral health providers must respond to all persons in immediate need until the situation is clarified that the behavioral health provider is not financially responsible. Persons who are determined ineligible for covered services may be referred to applicable community resources.

Behavioral health providers must screen behavioral health recipients for Title XIX eligibility. Providers can utilize the [Health-e Arizona web tool](#) to verify potential eligibility and submit behavioral health recipient's information for formal eligibility determination and screening for other public assistance programs simultaneously. RBHAs must maintain a resource list of providers with a sliding fee scale and associated services, as well as other community resources, that may be available to individuals who do not qualify for coverage through the Arizona Health Care Cost Containment System (AHCCCS, Arizona's Medicaid program).

3.2.4 Did you know...?

- The first behavioral health service following the initial assessment may be another assessment service, if determined by the child and family team (CFT) or adult clinical team to be the most appropriate service.
- Persons being treated or determined to be in need of psychotropic medications may need an appointment with an individual qualified to prescribe psychotropic medications before an initial assessment is completed. An appointment will be made in these situations.
- Behavioral health recipients may be referred to a provider outside of a T/RBHA's network for services, if the services are not available in network (see Section 3.3, Referral and Intake Process). If a behavioral health recipient is referred for services out of network, he/she must receive services within timeframes described in this policy.

3.2.5 Definitions

[Behavioral Health Medical Practitioner \(BHMP\)](#)

[Immediate Response](#)

[Urgent Response](#)

[Routine Response](#)

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3.2.6 Objectives

To ensure the timely response and provision of needed covered behavioral health services to persons based on their individual clinical needs including urgent responses for children taken into the custody of the Arizona Department of Economic Security/Division of Children, Youth and Families/Child Protective Services (ADES/DCYF/CPS).

3.2.7 Procedures

3.2.7-A. Type of response by a Tribal/Regional Behavioral Health Authority (T/RBHA) or a behavioral health provider

	WHEN	WHAT	WHO
IMMEDIATE	Behavioral health services provided within a timeframe indicated by behavioral health condition, but no later than 2 hours from identification of need or as quickly as possible when a response within 2 hours is geographically impractical.	Services can be telephonic or face-to-face; the response may include any medically necessary covered behavioral health service.	<ul style="list-style-type: none"> ▪ All persons requesting assistance unless determined not to be eligible. At the time of determination that an immediate response is needed, a person's eligibility and enrollment status may not be known. Behavioral health providers must respond to all persons in immediate need of behavioral health services until the situation is clarified that the behavioral health provider is not financially responsible.
URGENT DES/CPS child referral	Behavioral Health services must be provided within a timeframe indicated by behavioral health condition but no later than 72 hours after notification by DES/CPS that a child has been or will be removed from their home.	Includes medically necessary covered behavioral health services. (See section 3.2.7-B for detailed information)	<ul style="list-style-type: none"> ▪ Upon notification from ADES/DCYF/CPS that a child has been, or will imminently be, taken into the custody of ADES/DCYF/CPS, regardless of the child's Title XIX or Title XXI eligibility status;

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<p>URGENT <i>All other urgent responses</i></p>	<p>Behavioral health services provided within a timeframe indicated by behavioral health condition but no later than 24 hours from identification of need.</p>	<p>Includes any medically necessary covered behavioral health service.</p>	<ul style="list-style-type: none"> ▪ Referrals for hospitalized persons not currently T/RBHA enrolled; ▪ All Title XIX/XXI eligible persons; ▪ All non-Title XIX persons determined to have a Serious Mental Illness ▪ All other non-Title XIX/XXI persons in need of crisis or emergency services.
<p>ROUTINE</p>	<p>Appointment for initial assessment within 7 days of referral or request for behavioral health services.</p>	<p>Includes any allowable assessment service as identified in the ADHS/DBHS Covered Behavioral Health Services Guide.</p>	<ul style="list-style-type: none"> ▪ All Title XIX/XXI eligible persons; and ▪ All persons referred for determination as a person with a Serious Mental Illness
	<p>The first behavioral health service following the initial assessment appointment within timeframes indicated by clinical need, but no later than 23 days of the initial assessment.</p>	<p>Includes any medically necessary covered behavioral health service including additional assessment services.</p>	<ul style="list-style-type: none"> ▪ All Title XIX/XXI persons; and ▪ All persons determined to have a Serious Mental Illness
	<p>All subsequent behavioral health services within time frames according to the needs of the person.</p>	<p>Includes any medically necessary covered behavioral health service.</p>	<ul style="list-style-type: none"> ▪ All Title XIX/XXI persons; and ▪ All persons determined to have a Serious Mental Illness

3.2.7-B. 72-hour urgent behavioral health response for children taken into DES/CPS custody

An urgent response (within 72 hours) is required for all children who are taken into the custody of ADES/DCYF/CPS regardless of Title XIX or Title XXI eligibility status. The purpose for this urgent response is to:

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- Identify immediate safety needs and presenting problems of the child, to stabilize behavioral health crises and to be able to offer immediate services the child may need;
- Provide behavioral health services to each child with the intention of reducing the stress and anxiety that the child may be experiencing, and offering a coherent explanation to the child about what is happening and what can be expected to happen in the near-term;
- Provide needed behavioral health services to each child’s new caregiver, including guidance about how to respond to the child’s immediate needs in adjusting to foster care, behavioral health symptoms to watch for and report, assistance in responding to any behavioral health symptoms the child may exhibit, and identification of a contact within the behavioral health system;
- Initiate the development of the CFT for each child (see [Child and Family Team Practice Protocol](#)); and
- Provide the ADES/DCYF/CPS Case Manager with findings and recommendations for medically necessary covered behavioral health services for the initial Preliminary Protective Hearing, which occurs within 5 to 7 days of the child’s removal. (See [PM Attachment 4.4.1, ACYF Child Welfare Timelines](#), for more information).

3.2.7-C Appointments for psychotropic medications

For persons who may need to be seen by a Behavioral Health Medical Practitioner (BHMP), it is required that the person’s need for medication be assessed immediately and, if clinically indicated, that the person be scheduled for an appointment within a timeframe that ensures:

- The person does not run out of any needed psychotropic medications; or
- The person is evaluated for the need to start medications to ensure that the person does not experience a decline in his/her behavioral health condition.

Response for referrals or requests for psychotropic medications:

	WHEN	WHAT	WHO
Referral for psychotropic medications	Assess the urgency of the need immediately. If clinically indicated, provide an appointment with a BHMP within a timeframe indicated by clinical need, but no later than 30 days from the referral/initial request for services.	Screening, consultation, assessment, medication management, medications, and/or lab testing services as appropriate.	<ul style="list-style-type: none"> ▪ All Title XIX/XXI eligible persons; ▪ All persons determined to have a Serious Mental Illness; and ▪ Any person in an emergency or crisis.
All initial assessments and treatment recommendations that indicate a need for psychotropic medications	The initial assessment and treatment recommendations must be reviewed by a BHMP within a timeframe based on clinical need.	Screening, consultation, assessment, medication management, medications, and/or lab testing services as appropriate.	<ul style="list-style-type: none"> ▪ All Title XIX/XXI eligible persons; ▪ All persons determined to have a Serious Mental Illness; and ▪ Any person in an emergency or

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			crisis.
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3.2.7-D. Referrals for hospitalized persons

Behavioral health providers must quickly respond to referrals pertaining to eligible persons not yet enrolled in the T/RBHA or Title XIX/XXI eligible persons who have not been receiving behavioral health services prior to being hospitalized for psychiatric reasons. Upon receipt of such a referral, the following steps must be taken:

For referrals of Title XIX/XXI eligible persons:

- Initial face-to-face contact, an assessment and disposition must occur within 24 hours of the referral/request for services

For referrals of non-Title XIX/XXI eligible persons:

Persons referred for eligibility determination of Serious Mental Illness:

- Initial face-to-face contact and an assessment must occur within 24 hours of the referral/request for services. Determination of SMI eligibility must be made within timeframes consistent with and in accordance to [Section 3.10, SMI Eligibility Determination](#); and
- Upon the determination that the person is eligible for services and the person is in need of continued behavioral health services, the person must be enrolled and the effective date of enrollment must be no later than the date of first contact.

3.2.7-E. Waiting times

ADHS/DBHS has established standards so that persons presenting for scheduled appointments do not have to wait unreasonable amounts of time. Unless a behavioral health provider is unavailable due to an emergency, a person appearing for an established appointment must not wait for more than 45 minutes.

Behavioral health providers arranging for, or providing non-emergency transportation services for members must adhere to the following standards:

- A person must not arrive sooner than one hour before his/her scheduled appointment; and
- A person must not have to wait for more than one hour after the conclusion of his/her appointment for transportation home or to another pre-arranged destination.

3.2.7-F. Other requirements

All referrals from a person's primary care provider (PCP) requesting a psychiatric evaluation and/or psychotropic medications must be accepted and acted upon in a timely manner according to the needs of the person, and the response time must help ensure that the person does not experience a lapse in necessary psychotropic medications, as described in subsection [3.2.7-C, Appointments for psychotropic medications](#).

Title XIX and Title XXI persons must never be placed on a "wait list" for any Title XIX/XXI covered behavioral health service. If the T/RBHA network is unable to provide medically necessary covered behavioral health services for Title XIX or Title XXI persons, it must ensure timely and adequate coverage of needed services through an alternative provider until a network provider is contracted. In this circumstance, the T/RBHA must ensure coordination with respect to authorization and payment issues. In the event that a covered behavioral health service is temporarily unavailable to a Title XIX/XXI eligible person, the behavioral health

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provider must adhere to the following procedure. The Responsible Agency should contact NARBHA's Member Services for assistance in obtaining necessary services and the Responsible Agency may pursue a NARBHA Single Case Agreement in order that the needed service can be provided by a non-network provider in a timely manner.

3.2.7-G. Special populations

ADHS/DBHS receives some funding for behavioral health services through the Federal Substance Abuse Prevention and Treatment Block Grant (SAPT). SAPT funds are used to provide substance abuse services for Non-Title XIX/XXI eligible persons. As a condition of receiving this funding, certain populations are identified as priorities for the timely receipt of designated behavioral health services. Currently, not all T/RBHAs receive SAPT Block Grant funding through ADHS/DBHS; any providers contracted with a T/RBHA or for SAPT funds must follow the requirements found in this section. For all other contracted behavioral health providers that do not currently receive these funds, the following expectations do not apply.

SAPT Block Grant Populations

The following populations are prioritized and covered under the SAPT Block Grant:

- First:** Pregnant females who use drugs by injection;
- Then:** Pregnant females who use substances;
- Then:** Substance-using females with dependent children, including those attempting to regain custody of their child(ren);
- Then:** Other injection drug users; and
- Finally:** All other persons in need of substance abuse treatment.

**Response Times for Designated Behavioral Health Services under the SAPT Block Grant
(Based on available funding):**

WHEN	WHAT	WHO
Behavioral health services provided within a timeframe indicated by clinical need, but no later than 48 hours from the referral/initial request for services.	Any needed covered behavioral health service, including admission to a residential program if clinically indicated; If a residential program is temporarily unavailable, an attempt shall be made to place the person within another provider agency facility, including those in other geographic service areas. If capacity still does not exist, the person shall be placed on an actively managed wait list and interim services must be provided until the individual is admitted. Interim services include: counseling/education about HIV and Tuberculosis (include the risks of transmission), the risks of needle sharing and referral for HIV and TB treatment services if necessary, counseling on the effects of alcohol/drug use on the fetus and referral for prenatal care.	Pregnant women/teenagers referred for substance abuse treatment (includes pregnant injection drug users and pregnant substance abusers) and Substance-using females with dependent children, including those attempting to regain custody of their child(ren)

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<p>Behavioral health services provided within a timeframe indicated by clinical need but no later than 14 days following the initial request for services/referral.</p> <p>All subsequent behavioral health services must be provided within timeframes according to the needs of the person.</p>	<p>Includes any needed covered behavioral health services;</p> <p>Admit to a clinically appropriate substance abuse treatment program (can be residential or outpatient based on the person’s clinical needs); if unavailable, interim services must be offered to the person. Interim services shall minimally include education/interventions with regard to HIV and tuberculosis and the risks of needle sharing and must be offered within 48 hours of the request for treatment.</p>	<p>All other injection drug users</p>
<p>Behavioral health services provided within a timeframe indicated by clinical need but no later than 23 days following the initial assessment.</p> <p>All subsequent behavioral health services must be provided within timeframes according to the needs of the person.</p>	<p>Includes any needed covered behavioral health services.</p>	<p>All other persons in need of substance abuse treatment</p>